

POLICY TITLE	AR-01 College Role in Research
POLICY HOLDER	Vice-President, Research
ORIGINAL DATE	October 17, 1992
REVIEW DATE	June 2014
REVISED DATE	June 2014
NEXT REVIEW	January 2019


POLICY

Yukon College is committed to expanding northern research and innovation opportunities and strives to increase the capacity and culture to conduct research within the College and throughout Yukon.

The College requires procedures to ensure that research activities are undertaken with the goal to advance the College’s Strategic Direction and Goals, academic mandate, meet the requirements for ethical research practices, and provide demonstrable benefits to Yukon.

Approval Statement

With the consent of the Senior Executive Committee and approval of the President this policy is hereby deemed in effect the 11 day of June, 2014.



President, Yukon College

June 11, 2014
Date

1.0 PURPOSE

Yukon College is committed to developing and maintaining administrative and operational research policies and to ensuring such policies reflect the College's values, legal, and ethical requirements and purpose.

2.0 GOVERNING LEGISLATION AND RELEVANT DOCUMENTS

The Yukon College Act,
Board of Governors Policy #3: Yukon College President Responsibilities,
AR-02 Research Integrity,
AR-03 Research Ethics Policy,
AR-04 Animal Welfare Policy,
AR-05 Intellectual Property Policy,
AR-06 Northern Research Endowment Fund,
Tri-Council Policy Statement: Integrity in Research and Scholarship,
ACUNS (Association of Canadian Universities for Northern Studies)
Ethical Principles for the Conduct of Research in the North,
Yukon Scientists and Explorers Act,
Canadian Copyright Act,
Guide to Patents, Industry Canada,
Yukon College Strategic Directions and Goals;
Protocols and Principles for Conducting Research with Yukon First Nations

3.0 SCOPE

This policy applies to all members of the College community who are actively engaged in research activities and/or actively planning and preparing to engage in such activities.

4.0 DEFINITIONS

N/A

5.0 PROCEDURES

Yukon College is committed to expanding northern research and innovation opportunities. All research undertaken at Yukon College will adhere to the principles and procedures described in the Yukon College academic research policies – see Section 2.0 Governing Legislation and Relevant Documents.

The following procedures describe the requirements for research activities undertaken at Yukon College.

5.01 Research Mandate

The College, as the Territory's only post-secondary public educational institution, will, subject to certain conditions, actively undertake a range of research activities in the domains of "applied research", "Yukon and Northern Research", and "technology transfer".

The primary conditions of such research activities will be:

- That the activities bear a demonstrable and positive relationship to the College's programs and services;
- That the research activities and facilities be generally in support of the social and economic development priorities of First Nations and the Territorial Government and private sector activities;
- That such activities not unfairly compete with private sector research activities; and
- That programming services of the College not be impaired.

5.02 Objectives of Research

The objectives of the research activities include, but are not limited to:

- Support of Yukon and Northern research, innovation, and the social and economic development priorities of First Nations and the Territorial Government through applied research;
- Support of private sector activities, through complementing research and provision of facilities for private sector research by non-educational private sector organizations;

- Support of First Nations and private sector research through partnerships and collaborative arrangements with First Nation groups and local private sector researchers;
- Enhancement of program services, including the development of research personnel for the local market;
 - Enhancement of College visibility,
 - Enhancement of private sector linkages,
 - Development of the North's scholarly capability, and
 - Motivation of staff.

5.03 Conditions of Research

The conditions of the College role in research are that:

- The activities bear a demonstrable and positive relationship to the College's program services and long-term goals;
- College students are involved in research and training activities to the extent possible;
- Programming services of the College are not impaired;
- Support of Yukon and Northern research;
- Support for Yukon and Northern innovation;
- Applied research and technology transfer is locally applicable and in support of private sector business activity, First Nations services and public sector services;
- The activities are of sufficient high quality to enhance the reputation of the College, while developing research capability in the Territory;
- Overall cost recovery is assured;
- Appropriate contractual arrangements are made identifying financial aspects, responsibility and liability levels, status of patents/industrial designs and copyright, incentives and compensation to College staff and students, etc.;
- Planning approvals and financing arrangements are completed prior to the commencement of any activity/project;
- The College not compete unfairly with private sector non-educational organizations (private sector educational organizations operate in the same domain as the College, and, as such the College should not be constrained from competing with such organizations); and
- All activities/services conform to College policies and practices.

5.04 Ethical Research Principles

All research activities undertaken at the College will be guided by the following: Yukon College policies - AR-02 Research Integrity Policy Ethical Principles, AR-03 Research Ethics Policy and the Protocols and Principles for Conducting Research with Yukon First Nations.

6.0 PROBLEM SOLVING

Any questions or concerns arising out of the intent, content, implementation, or communication of this policy should be reported to the Vice-President, Research.

Where a concern or dispute arises from a decision made as a result of applying or enforcing this policy, the Vice-President, Research should be notified as soon as possible after the decision has been made. In such cases all reasonable attempts should be made to resolve any disagreements cooperatively with the Vice-President, Research or designate. Where informal resolution is not possible, the matter will be resolved under the authority of the Academic Council following the procedures described in the relevant sections of the Yukon College Academic Regulations and Procedures.

Where a concern or dispute arises over matters of integrity in research and scholarly activities, such concerns will be addressed by following the procedures described in the relevant sections of Yukon College policy, AR-02 Research Integrity.

Where a concern or dispute arises over matters of ethics in research and scholarly activities, researchers have the right to request a reconsideration of any decisions made that affect their research project. In such cases the relevant sections of Yukon College policy, AR-03 Research Ethics Policy apply.

Where a concern or dispute arises over a matter of animal welfare in research and scholarly activities, a Principal Investigator (PI) may appeal a decision by following the procedures described in Yukon College policy, AR-04 Animal Welfare Policy.

Where a dispute(s) arises over the attribution of academic credit and/or Intellectual Property (IP) rights created in the course of performing research and scholarly

activities, contributors are required to seek advice and informal resolution of the matter if possible from the Vice-President, Research or designate. In such cases the procedures defined in Yukon College policy, AR-05 Intellectual Property Policy apply.

7.0 FORMS

N/A

8.0 APPENDICES

N/A

9.0 ADDENDUMS

Addendum A - Policy Communication Checklist

ADDENDUM A - POLICY COMMUNICATION CHECKLIST

Policy Name: College role in research

Number: AR-01

Submitted by: Clint Sawicki

List those consulted with in preparation of this policy:

Name	Department	Date
YC Staff and Faculty	All	May 2014

The order for communication and/or consultation for a new or revised policy is as follows:

1. SEC – initial review and recommendations from SEC membership;
2. Identified stakeholders within Yukon College in order of priority – see below;
3. SEC – to be briefed on any issues arising out of stakeholder consultations;
4. Staff – SEC members to bring policy to their staff for feedback (*SEC member introducing this policy is responsible for sending to SEC, requesting that it be circulated to their staff for feedback*);
5. SEC – final draft supported by SEC membership and approved by the President.

This checklist must be completed prior to the final draft of a policy being presented to SEC for presidential approval.

Body	Communication Planned	Completed	Comments
SEC	Send to YC Staff	May 2014	
Student Union			
Employee’s Union			
Occupational Health and Safety			
Academic Council			
Board or a Board subcommittee			
<i>Other</i>	YC Staff and Faculty	May 2014	
SEC for Final Review	Final approval	June 2014	